

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM
(only for new nonprovisional applications under 37 CFR 1.53(b))

U.S. Patent and Trademark Office
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Arlington, Virginia 22202
BOX: PATENT APPLICATION

J1040 U.S. PTO
10/079071
02/20/02

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, 22 pages) of:

Inventor(s): **Roland Neubert, Karin Vogel, Ulrich Salz, and Volker Rheinberger**

For : **FILLER ON THE BASIS OF PARTICULATE COMPOSITE**

****If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:**

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)
of prior application Serial No.

Prior application information: Examiner :
Art Unit :

Enclosed are:

- ☒ Submission of Formal Drawings with 1 sheet of formal drawings.
- ☒ **Signed** Combined Declaration and Power of Attorney (3 pages).
- ☐ **Copy** of **signed** Combined Declaration and Power of Attorney (____ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed** statement deleting inventor(s) named in prior application (____ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☒ Assignment (2 pages) of the invention to **Ivoclar Vivadent AG**.
- ☒ Assignment Transmittal Letter.
- ☒ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☐ Applicant claims small entity status. (See 37 CFR 1.27.)

- ☒ Preliminary Amendment (5 pages) with Appendix A (4 pages).
- ☒ Information Disclosure Statement, form PTO-1449 (1 page) and 4 references.
- ☐ **UNSIGNED** Combined Declaration and Power of Attorney (_____ pages).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

The Filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE	XXXXXXXX	XXXXXXXX
TOTAL CLAIMS	31 - 20 =	11
INDEP CLAIMS	2 - 3 =	0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

SMALL ENTITY

RATE	FEE
XXXX	\$370
x 9 =	\$
x 42 =	\$
x 140 =	\$
TOTAL	\$

LARGE ENTITY

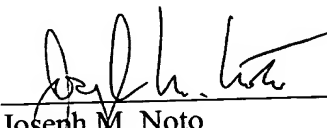
RATE	FEE
XXXX	\$740
x 18 =	\$198
X84 =	\$
x280 =	\$
TOTAL	\$938

OR
OR
OR
OR
OR
OR

- ☒ A check in the amount of **\$938.00** to cover the filing fee is enclosed.
- ☒ A check in the amount of **\$40.00** to cover the Assignment filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

Joseph M. Noto
NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603-1051

Date: February 20, 2002



Joseph M. Noto
Registration No. 32,163

NIXON PEABODY LLP
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EXPRESS MAIL CERTIFICATE

DOCKET NO. : 20959/1680 (P 54746)

APPLICANT(S) : Roland Neubert, Karin Vogel, Ulrich Salz, and Volker
Rheinberger

TITLE : FILLER ON THE BASIS OF PARTICULATE COMPOSITE

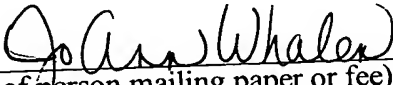
Certificate is attached to the **Utility Patent Application Transmittal Form**
(2 pages) (in duplicate) of the above-named application.

"EXPRESS MAIL" NUMBER: EL801321034US

DATE OF DEPOSIT: February 20, 2002

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Certificate is attached to the Preliminary Amendment (5 pages) with
Appendix A (4 pages) of the above-named application.

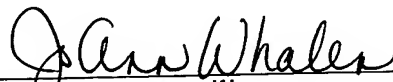
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Jo Ann Whalen

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PATENT
Docket No.: 20959/1680 (P 54746)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) :	Roland Neubert, Karin Vogel, Ulrich Salz, and Volker Rheinberger)	Examiner:
)	To Be Assigned
)	
Serial No. :	To Be Assigned)	Art Unit:
)	To Be Assigned
Cnfrm. No. :	To Be Assigned)	
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Filed :	Herewith)	
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For :	FILLER ON THE BASIS OF PARTICULATE COMPOSITE)	

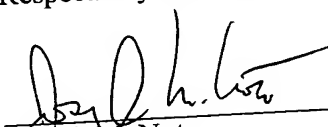
SUBMISSION OF FORMAL DRAWINGS

U.S. Patent and Trademark Office
Box 2327
Arlington, Virginia 22202
Box: Patent Application

Dear Sir:

Enclosed for filing in the subject application is one (1) sheet of formal drawings.

Respectfully submitted,



Joseph M. Noto
Registration No. 32,163

Date: February 20, 2002

NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603-1051
Telephone: (585) 263-1601
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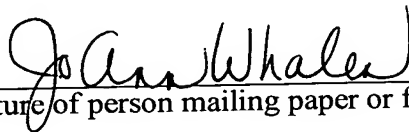
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